

013004

1442 U.S. PTO

## UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.  
SETI-0001DIV22264 U.S.PTO  
10/768944

013004

## TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Muhammad Asif Khan et al., entitled NITRIDE-BASED HETEROSTRUCTURE DEVICES (as amended), for a(n):

( ) Original Patent Application.

(X) Continuing Application (prior application not abandoned):

( ) Continuation      (X) Divisional      ( ) Continuation-in-part (CIP)  
 of prior Application No. 09/966,563, filed on 09/27/2001.

(X) A statement claiming priority under 35 USC § 120 has been added to the specification.

( ) A statement claiming priority under 35 USC § 119(e) has been added to the specification. (for provisional conv.)

Enclosed are:

(X) Specification; <u>21</u> Total Pages.	(X) Drawing(s); <u>5</u> Total Sheets.
(X) Oath or Declaration:	
( ) A Newly Executed Combined Declaration and Power of Attorney:	
( ) Signed.      ( ) Unsigned.      ( ) Partially Signed.	
(X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).	
(X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.	
( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).	
( ) Power of Attorney	(X) Return Receipt Postcard
( ) Associate Power of Attorney	(X) Check in the amount of \$ <u>385.00</u> for the Filing Fee
(X) Preliminary Amendment; <u>7</u> total pages	(X) Information Disclosure Citation (Form PTO-1449) <small>(copy from prior Appln. S/N 09/966,563)</small>
( ) Certified Copy of Priority Documents <small>(if foreign priority is claimed)</small>	( ) Recordation Form Cover Sheet
(X) Applicant asserts small entity status	( ) Assignment in <u>      </u> pgs.
(X) Other: Transmittal of Formal Drawings; 8 sheets of 10 Formal Drawings	( ) Check in the amount of \$40 for the Recordation Fee

## CLAIMS AS FILED

FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	11	0	\$9.00	\$0.00
Independent Claims	3	0	\$43.00	\$0.00
Multiple Dependent Claim Fee (if applicable)				\$0.00
Assignment Recording Fee (if applicable)				\$0.00
Basic Filing Fee				\$385.00
			Total Filing Fee	\$385.00

Please charge \$        to Deposit Account No. 500999 pursuant to 37 CFR § 1.25. At any time during the pendency of this application, the Commissioner is hereby authorized to charge any fees required or credit any overpayment to this Deposit Account. A duplicate copy of this sheet is enclosed for fee processing against this Deposit Account.

Respectfully submitted,

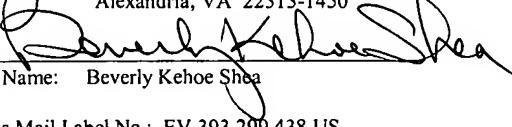
By:   
 John W. LaBatt, Attorney of Record, Reg. No. 48,301

Date: January 30, 2004

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 Address: Three E-Comm Square  
                          Albany, New York 12207  
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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Mail Stop PATENT APPLICATION  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

By:   
 Typed Name: Beverly Kehoe Shea

Express Mail Label No.: EV 393 299 438 US  
 Date of Deposit: January 30, 2004

## TRANSMITTAL OF FORMAL DRAWINGS

Docket No.  
SETI-0001DIV

In Re Application Of: Khan et al.

Serial No.	Filing Date	Confirmation No.	Examiner	Art Unit
Not yet assigned	01/30/2004	Not yet assigned	Not yet assigned	Not yet assigned

Invention: NITRIDE-BASED HETEROSTRUCTURE DEVICES (as amended)

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith are:

8 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).



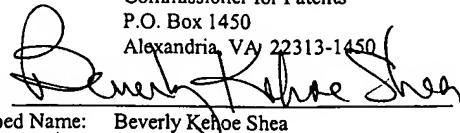
Signature

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